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11 **UNITED STATES DISTRICT COURT**  
 12 **CENTRAL DISTRICT OF CALIFORNIA**  
 13 **EASTERN DIVISION**

14 RICHARD HART, ERVIN  
 15 LONGSTREET, ALDO  
 16 HERNANDEZ, CHARLES GLUCK,  
 AND GRAHAM WALDROP,  
 17 individually and on behalf of all others  
 similarly situated,

18 Plaintiffs,

19 v.

20 STEPHANIE CLENDENIN, Director  
 of California Department of State  
 21 Hospitals, in her official capacity;  
 22 JANINE WALLACE, Executive  
 Director of Patton State Hospital, in her  
 official capacity,

23 Defendants.  
 24

**Case No. 5:20-cv-1559**

**CLASS ACTION**

**COMPLAINT FOR INJUNCTIVE  
AND DECLARATORY RELIEF**

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1 **INTRODUCTION**

2 1. Plaintiffs Richard Hart, Ervin Longstreet, Aldo Hernandez, Charles Gluck,  
3 and Graham Waldrop (collectively, “Plaintiffs”) bring this class action lawsuit because  
4 they and others who are similarly situated are at risk of becoming severely ill or dying  
5 from COVID-19 while being held at Patton State Hospital, one of the largest psychiatric  
6 hospitals in the country. Stephanie Clendenin, Director of the California Department of  
7 State Hospitals (“DSH”) and Janine Wallace, Director of Patton State Hospital (“DSH-  
8 Patton”) (collectively, “Defendants”) are holding Plaintiffs at this locked facility pursuant  
9 to involuntary psychiatric commitments. As of the date of this filing, at least 112 patients  
10 and 147 facility staff at DSH-Patton have tested positive for COVID-19. At least two  
11 patients have died from complications after contracting the virus. In light of the life-  
12 threatening dangers posed by the growing COVID-19 outbreak, Plaintiffs seek immediate  
13 action to protect the health and well-being of DSH-Patton patients, including through  
14 discharge or transfer to safer, non-congregate settings.

15 2. With each passing day, the grave threat of SARS-CoV-2, the coronavirus  
16 responsible for the COVID-19 pandemic, increases at DSH-Patton. The facility is in the  
17 midst of an outbreak. There is no vaccine for the virus. There is no effective treatment.  
18 Although DSH-Patton provides psychiatric treatment to patients, it is not equipped to  
19 provide essential medical care. Mounting evidence indicates that transmission of  
20 COVID-19 is airborne and is particularly dangerous in congregate settings, like DSH-  
21 Patton, with close contact and poor ventilation. The Centers for Disease Control and  
22 Prevention (“CDC”) advises that the only effective means of limiting transmission of the  
23 novel coronavirus is through “social distancing” and rigorous personal hygiene. This is  
24 impossible for Plaintiffs and other patients of DSH-Patton, given their conditions of  
25 confinement.

26 3. DSH-Patton resembles a jail or prison facility more closely than a  
27 community-based medical hospital or treatment center. The facility holds more than  
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1 1,500 patients overall and employs over 2,000 staff members. Defendants confine  
2 patients to locked units that consist of approximately fifty (50) people, all of whom share  
3 one bathroom, one eating area, one communal lounge area, and a few telephones.  
4 Patients report that, contrary to DSH protocols, Defendants do not routinely sanitize high-  
5 touch common surfaces, including tables, chairs, and telephones, between use by  
6 different patients. Staff rotate through the units constantly, and do not wear masks  
7 consistently when interacting with patients.

8 4. Defendants' failure to take steps to limit the spread of COVID-19 at DSH-  
9 Patton poses a serious threat to Plaintiffs' lives and well-being. Plaintiffs all have  
10 conditions and factors that, according to the CDC, make them highly vulnerable to severe  
11 illness or death if they contract COVID-19. For example, Plaintiff Hart is a 66-year-old  
12 lung cancer survivor with Chronic Obstructive Pulmonary Disease ("COPD"). Plaintiffs  
13 Longstreet, Hernandez, Gluck and Waldrop have a range of serious medical conditions  
14 that include coronary artery disease, kidney and liver conditions, hypertension, diabetes,  
15 and obesity. According to the CDC, these conditions and factors make Plaintiffs and  
16 others with similar conditions vulnerable to severe medical complications and death from  
17 COVID-19. Plaintiffs and many other patients at DSH-Patton also have other risk  
18 factors, such as those related to age and race, that increase the likelihood of severe  
19 complications from COVID-19.

20 5. By this class action, Plaintiffs seek to represent all individuals confined at  
21 DSH-Patton during the COVID-19 pandemic who, pursuant to CDC guidelines, are or  
22 might be at high risk for becoming severely ill or dying from COVID-19 (the "Class").  
23 A substantial number of patients held at DSH-Patton—almost certainly several hundred  
24 people, who are identifiable through review of basic medical and other records—qualify  
25 as "high risk" based on the CDC's guidelines.

26 6. In response to this pandemic, courts and custodial authorities across the  
27 country have prioritized releasing high-risk detainees to protect both the detainees' health  
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1 and the public's health. Doing so not only protects the detained individuals with the  
2 greatest vulnerability to severe illness and death from contracting the virus, but also  
3 contributes to risk mitigation for the other people in detention as well as the facility staff  
4 who live among the public.

5 7. In contrast, Defendants have taken no meaningful steps to modify their  
6 policies and procedures to facilitate the release or transfer of Plaintiffs and others  
7 similarly situated to safer settings, despite the serious threat that the COVID-19 outbreak  
8 poses to their lives. On information and belief, Defendants have denied every request  
9 made by Plaintiffs and other high-risk patients to be moved to a safer, non-congregate  
10 setting. Among those Defendants have refused to move are patients who have completed  
11 or are close to completing their treatment goals at DSH, and patients who have identified  
12 community placements where they can safely shelter in place and receive treatment in a  
13 non-congregate setting. Even for patients who do not meet the current criteria for  
14 discharge to the community, it is inhumane and unconscionable to keep them in a setting  
15 where they are unable to protect themselves from infection.

16 8. Defendants are putting the lives of DSH-Patton<sup>1</sup> patients in danger by  
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18 <sup>1</sup> Alarming outbreaks are also occurring in other DSH facilities. For example, at DSH-  
19 Metropolitan located in Norwalk, California, at least 66 patients and at least 58 staff  
20 members and onsite personnel have tested positive for COVID-19. At DSH-Coalinga, at  
21 least 28 patients and at least 32 staff members and onsite personnel have tested positive  
22 for COVID-19; at least one DSH-Coalinga patient has died after contracting COVID-19.  
23 In the last several days, there have been reports that DSH has begun abruptly moving  
24 some DSH-Coalinga patients to DSH-Napa, another crowded, congregate state hospital  
25 that has to-date had a small number of patients test positive for COVID-19. This sudden  
26 transfer process, done without any transparency as to the process, raises grave concerns  
27 that DSH may be moving down the same catastrophic path that the California  
28 Department of Corrections and Rehabilitation ("CDCR") went down in conducting hasty  
transfers of COVID-19-infected patients to San Quentin State Prison. *See* Jason Pohl,  
*'Horribly botched.'* *Lawmakers slam California prisons for 'out-of-control' COVID-19*  
*infections*, SACRAMENTO BEE Jul. 1, 2020,  
<https://www.sacbee.com/news/coronavirus/article243935832.html>; Rong-Gong Lin II &  
Sean Greene, *San Quentin prison coronavirus outbreak 'tragic, predictable and*

1 holding them in crowded, congregate living spaces in the midst of a COVID-19 outbreak.  
2 Defendants' continued confinement of Plaintiffs and other high-risk patients at DSH-  
3 Patton violates the Due Process Clause of the Fourteenth Amendment and Title II of the  
4 Americans with Disabilities Act of 1990 ("ADA"), 42 U.S.C. §§ 12131-12134. Plaintiffs  
5 bring this action on behalf of themselves and others similarly situated in order to remedy  
6 these violations.

7 9. Unless this Court intervenes to order Defendants to take immediate steps to  
8 protect DSH-Patton patients, including by effectuating the discharge or transfer of  
9 Plaintiffs to safer, non-congregate settings, Plaintiffs and the class of people they seek to  
10 represent are at grave risk of irreparable harm, including contracting COVID-19,  
11 becoming severely ill, and dying.

### 12 **JURISDICTION**

13 10. Plaintiffs bring this putative class action pursuant to 42 U.S.C. § 1983 for  
14 relief from both detention and conditions of confinement that violate their Fourteenth  
15 Amendment right under the U.S. Constitution and pursuant to 42 U.S.C. § 12131 *et seq.*  
16 for relief from disability discrimination.

17 11. This Court has jurisdiction pursuant to 42 U.S.C. § 1983; 28 U.S.C.  
18 § 1331; 28 U.S.C. § 2201; and 28 U.S.C. § 2202. A substantial, actual, and continuing  
19 controversy exists between the parties.

### 20 **VENUE & INTRADISTRICT ASSIGNMENT**

21 12. Venue is proper in the Central District of California pursuant to 28 U.S.C.  
22 §§ 1391(b)(2).

23 13. Defendants operate DSH-Patton, which is located in the Central District of  
24 California, and the events or omissions giving rise to this action arose in San Bernardino

25 \_\_\_\_\_  
26 *unacceptable*, 'L.A. TIMES, Jun. 26, 2020,  
27 [https://www.latimes.com/california/story/2020-06-26/san-quentin-state-prison-](https://www.latimes.com/california/story/2020-06-26/san-quentin-state-prison-coronavirus-cases-soar)  
28 [coronavirus-cases-soar.](https://www.latimes.com/california/story/2020-06-26/san-quentin-state-prison-coronavirus-cases-soar)

1 County, which is located within the Central District of California. Plaintiffs also reside at  
2 DSH-Patton in San Bernardino County.

3 14. This action should be assigned to the Eastern Division of the Central District  
4 of California pursuant to General Order No. 19-03 § I.B.1.a(1)(c). All Plaintiffs reside in  
5 in the Eastern Division, and Defendants operate DSH-Patton, which is located in the  
6 Eastern Division.

7 **PARTIES**

8 ***Plaintiff Richard Hart***

9 15. Plaintiff Richard Hart (“Mr. Hart”) is a 66-year-old lung cancer survivor  
10 who lost part of his left lung when receiving cancer treatment last year. Mr. Hart also  
11 has Chronic Obstructive Pulmonary Disease (“COPD”) and hypertension, and is obese  
12 with a body mass index of 33.

13 16. According to CDC guidance, Mr. Hart’s age and medical conditions place  
14 him at a heightened risk of severe illness or death due to COVID-19. In addition, Mr.  
15 Hart is an individual with a disability as defined by the ADA. *See* 42 U.S.C.  
16 § 12102(2)(b).

17 17. Defendants are holding Mr. Hart because a court found him to be not guilty  
18 by reason of insanity under Penal Code section 1026 for criminal acts that occurred  
19 twenty-two years ago. Mr. Hart’s actions did not result in any bodily harm. According  
20 to recent reports, his risk of future violence is “low” based on Mr. Hart’s improved  
21 insight and coping skills, and he is close to completing his treatment goals.

22 18. The primary purpose of Mr. Hart’s continued confinement at DSH-Patton is  
23 to receive treatment for his mental health disability. However, managing his condition  
24 requires only minimal psychiatric treatment at DSH-Patton, and this treatment could be  
25 replicated in another setting without difficulty. In particular, Mr. Hart takes medication  
26 and sees a therapist two times per month. Prior to the COVID-19 outbreak, Mr. Hart  
27 also attended group programming sessions, which Defendants have cancelled due to the  
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1 outbreak.

2 19. On July 17, 2020, Plaintiffs' counsel sent a formal request to Defendants to  
3 evaluate Mr. Hart, on an expedited basis, for transfer or discharge from DSH-Patton to a  
4 safer setting in light of Mr. Hart's high risk for becoming severely ill or dying from  
5 COVID-19. To date, Defendants have continued to hold him at the facility under the  
6 same conditions as existed before the outbreak—with the exception of the indefinite  
7 suspension of his group programming. Defendants have refused to transfer or discharge  
8 Mr. Hart despite the fact that his own treatment team at DSH-Patton already  
9 recommended him for community outpatient treatment on February 4, 2020.

10 20. If Defendants were to discharge Mr. Hart from DSH-Patton, he could  
11 continue therapy and medication in a safer, non-congregate community setting that would  
12 not jeopardize his life. Mr. Hart has a supportive family who have offered to assist him  
13 in his reentry into the community. He also has income to pay for a place to live  
14 independently, and would continue to receive medical and psychiatric services and follow  
15 any appropriate conditions for ongoing supervision.

16 21. Mr. Hart fears for his well-being because of the COVID-19 outbreak at  
17 DSH-Patton and is experiencing stress and anxiety. He believes that, if he were to  
18 contract COVID-19, he "would have a slim chance of survival."

19 ***Plaintiff Ervin Longstreet***

20 22. Plaintiff Ervin Longstreet ("Mr. Longstreet") is a Navy veteran and cancer  
21 survivor with multiple medical conditions, including hypertension and kidney and liver  
22 conditions that require medication. He has been told by his doctors that he likely will  
23 require dialysis in the near future and may need an organ transplant.

24 23. According to CDC guidance, Mr. Longstreet's medical conditions place him  
25 at heightened risk of severe illness or death due to COVID-19. In addition, Mr.  
26 Longstreet is an individual with a disability as defined by the ADA. *See* 42 U.S.C.  
27 § 12102(2)(b).  
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1           24. Defendants are holding Mr. Longstreet at DSH-Patton because a court found  
2 him to be not guilty by reason of insanity under Penal Code section 1026 for criminal  
3 acts that occurred in 2007. According to recent reports, his risk for future violence is  
4 “low,” and he is stable on his medications.

5           25. Mr. Longstreet is currently receiving extremely minimal psychiatric  
6 treatment at DSH-Patton. In particular, Mr. Longstreet takes medication and sees a  
7 therapist approximately two times per month. Prior to the COVID-19 outbreak, Mr.  
8 Longstreet also attended group programming sessions, which Defendants have cancelled  
9 due to the outbreak.

10           26. On June 18, 2020, Plaintiffs’ counsel sent a formal request to DSH to  
11 evaluate Mr. Longstreet, on an expedited basis, for transfer or discharge from DSH-  
12 Patton to a safer setting in light of the COVID-19 risks at the facility. In addition to Mr.  
13 Longstreet’s risk of becoming severely ill or dying from COVID-19, there are a number  
14 of factors that indicate he can be discharged safely. For example, Mr. Longstreet has  
15 extensive family support, including a brother, a daughter, and the mother of his children.  
16 Mr. Longstreet’s family members have expressed their willingness to assist with his  
17 reentry into the community, including providing him housing in a non-congregate setting.  
18 Mr. Longstreet works in the DSH-Patton barber shop and has been told he could find  
19 work in the field after discharge.

20           27. On July 3, 2020, Defendants informed Plaintiffs’ counsel that “Mr.  
21 Longstreet has demonstrated progress towards several of the areas identified in his  
22 discharge plan, including increased engagement in treatment.” DSH evaluators  
23 concluded that he was appropriate for immediate transfer to Sylmar Health &  
24 Rehabilitation Center, another facility that is smaller than DSH-Patton though is still a  
25 congregate setting that has had COVID-19 cases. On July 15, 2020, Sylmar notified  
26 DSH that Mr. Longstreet would not be admitted to Sylmar at the present time, to allow  
27 for “additional time at DSH-P[atton] to develop a more detailed timeline of events related  
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1 to his mental illness” and for DSH to complete a “medication evaluation.”

2 28. To date, Defendants have not taken any action to complete these  
3 recommendations, and have not taken any other steps to facilitate Mr. Longstreet’s  
4 transfer to a more appropriate non-congregate setting that does not pose the same  
5 COVID-19 risks. Instead, Defendants continue to hold Mr. Longstreet at DSH-Patton.  
6 In addition, Mr. Longstreet has had other medical problems for which he has not been  
7 able to see necessary medical specialists due to COVID-19-related restrictions in place at  
8 DSH-Patton.

9 29. Mr. Longstreet experiences extreme stress and anxiety due to the outbreak at  
10 DSH-Patton, and he fears for his well-being. In Mr. Longstreet’s words, “this is turning  
11 into a life sentence, and I wasn’t even sentenced to life.”

12 ***Plaintiff Aldo Hernandez***

13 30. Plaintiff Aldo Hernandez (“Mr. Hernandez”) has been diagnosed with  
14 coronary artery disease and type 2 diabetes. He is also obese, with a body mass index of  
15 38.1.

16 31. According to CDC guidance, Mr. Hernandez’s medical conditions place him  
17 at heightened risk of severe illness or death due to COVID-19. In addition, Mr.  
18 Hernandez is an individual with a disability as defined by the ADA. *See* 42 U.S.C.  
19 § 12102(2)(b).

20 32. Defendants are holding Mr. Hernandez at DSH-Patton because a court found  
21 him to be not guilty by reason of insanity under Penal Code section 1026 for criminal acts  
22 that occurred twenty-five years ago.

23 33. The primary purpose of Mr. Hernandez’s continued confinement at DSH-  
24 Patton is to receive treatment for his mental health disability. However, managing his  
25 condition requires only minimal psychiatric treatment at DSH-Patton, and this treatment  
26 could be replicated in another setting without difficulty. Specifically, Mr. Hernandez  
27 takes medication and sees a social worker four times per month. Prior to the COVID-19  
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1 outbreak, Mr. Hernandez also attended group programming sessions, which Defendants  
2 have cancelled due to the outbreak.

3 34. Upon information and belief, since the onset of the pandemic, Defendants  
4 have failed to assess Mr. Hernandez for discharge or transfer despite his high risk of  
5 becoming severely ill or dying if he contracts COVID-19.

6 35. If DSH were to conduct such an evaluation, it would be apparent that Mr.  
7 Hernandez could continue effective therapy and medication in a non-congregate  
8 community setting outside of DSH-Patton, where he would not face the heightened risk  
9 of contracting the virus. Mr. Hernandez has a supportive family, with whom he is in  
10 regular contact by phone and mail, who could also assist with his reentry and  
11 participation in ongoing treatment. A recent report noted that he is “psychiatrically  
12 stable” and that his symptoms are controlled on medications.

13 36. Mr. Hernandez is very afraid. He notes: “It is very scary to be stuck in a  
14 place where you don’t know the outcome and can’t see your family. All you can do is  
15 hope and pray that you don’t catch it.”

16 *Plaintiff Charles Gluck*

17 37. Plaintiff Charles Gluck (“Mr. Gluck”) has diagnoses of type 2 diabetes and  
18 hypertension. He is also obese, with a body mass index of 32.

19 38. According to CDC guidance, Mr. Gluck’s medical conditions place him at  
20 heightened risk of severe illness or death due to COVID-19. In addition, Mr. Gluck is an  
21 individual with a disability as defined by the ADA. *See* 42 U.S.C. § 12102(2)(b).

22 39. Defendants are holding Mr. Gluck at DSH-Patton because a court found him  
23 to be not guilty by reason of insanity under Penal Code section 1026 for criminal acts that  
24 occurred in 1985.

25 40. The primary purpose of Mr. Gluck’s continued confinement at DSH-Patton  
26 is to receive treatment for his mental health disability. However, managing his condition  
27 requires only minimal psychiatric treatment at DSH-Patton, and this treatment could be  
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1 replicated in another setting without difficulty. Specifically, Mr. Gluck takes medication  
2 and sees a therapist two times per month. Prior to the COVID-19 outbreak, Mr. Gluck  
3 also attended group programming sessions, which Defendants have cancelled due to the  
4 outbreak.

5 41. Upon information and belief, since the onset of the pandemic, Defendants  
6 have failed to assess Mr. Gluck for discharge or transfer despite his high risk of becoming  
7 severely ill or dying if he contracts COVID-19.

8 42. If Defendants were to conduct such an evaluation, it would be apparent that,  
9 as noted in his most recent court report, Mr. Gluck's risk of serious harm or imminent  
10 violence is "low." Mr. Gluck's primary behavioral health needs include substance abuse  
11 treatment. If he were released or transferred to a safer setting, Mr. Gluck would be  
12 willing to participate in such treatment. If discharged from DSH, Mr. Gluck would also  
13 be eligible to receive SSDI benefits.

14 43. In Mr. Gluck's words: "I feel very uncomfortable because we don't know  
15 where we stand. I feel so bottled up, like a rat trapped in a cage."

16 ***Plaintiff Graham Waldrop***

17 44. Plaintiff Graham Waldrop ("Mr. Waldrop") has type 2 diabetes and is  
18 severely obese, with a body mass index of 57.8. He also has a diagnosis of obstructive  
19 sleep apnea, for which he needs to use a continuous positive airway pressure ("CPAP")  
20 machine, which requires daily cleaning.

21 45. According to CDC guidance, Mr. Waldrop's medical and physical  
22 conditions place him at greatly heightened risk of severe illness or death due to COVID-  
23 19. In addition, Mr. Waldrop is an individual with a disability as defined by the ADA.  
24 *See* 42 U.S.C. § 12102(2)(b).

25 46. Defendants are holding Mr. Waldrop at DSH-Patton because a court found  
26 him to be not guilty by reason of insanity under Penal Code section 1026 for criminal acts  
27 that occurred in 2007.

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1 47. The primary reason for Mr. Waldrop's continued confinement at DSH-  
2 Patton is to receive treatment for his mental health disability. However, managing his  
3 condition requires only minimal psychiatric treatment at DSH-Patton, and this treatment  
4 could be replicated in another setting without difficulty. Specifically, he takes  
5 medication and does not receive any individual therapy. Before being cancelled as a  
6 result of the COVID-19 pandemic, Mr. Waldrop participated in group programming at  
7 DSH-Patton.

8 48. Upon information and belief, since the onset of the pandemic, Defendants  
9 have failed to assess Mr. Waldrop for discharge or transfer despite his high risk of  
10 becoming severely ill or dying if he contracts COVID-19.

11 49. If Defendants were to conduct such an assessment, it would be apparent that  
12 Mr. Waldrop is able to continue medication in a non-congregate community setting  
13 outside of DSH, where he would not face a heightened risk of contracting the virus. Mr.  
14 Waldrop has a supportive family, with whom he is in regular contact by phone, who can  
15 provide him housing and assist with his reentry and participate in ongoing treatment if he  
16 is released from DSH-Patton.

17 50. Mr. Waldrop is afraid to stay at DSH-Patton because he knows that his  
18 health conditions put him at high risk if he contracts COVID-19. He is constantly  
19 worried about his health and states that he is "afraid to die here."

20 ***Defendants***

21 51. Defendant Stephanie Clendenin ("Clendenin") is the Director of the  
22 California Department of State Hospitals ("DSH"). She is sued herein in her official  
23 capacity only. As DSH Director, Defendant Clendenin oversees the management of  
24 California's state hospital system, including California's five state hospitals: DSH-  
25 Atascadero, DSH-Coalinga, DSH-Metropolitan, DSH-Napa, and DSH-Patton.

26 52. Defendant Janine Wallace ("Wallace") is the Executive Director of DSH-  
27 Patton. She is sued herein in her official capacity only. Defendant Wallace oversees the  
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1 management of DSH-Patton and exercises the day-to-day control over its residents’  
2 custody.

3 53. Defendants are responsible for the health and safety of DSH-Patton patients  
4 and with ensuring that they are served in accordance with federal and state law.

5 **FACTUAL BACKGROUND**

6 **A. COVID-19 Poses a Grave Risk of Harm.**

7 54. COVID-19 is a novel communicable virus that has proved unusually fatal.  
8 As of August 4, 2020, more than 4.7 million people in the United States have contracted  
9 the coronavirus and at least 155,000 have died.<sup>2</sup> In recent days, the number of reported  
10 cases of infection in many parts of the country, including in California, have shown a  
11 frightening increase, and numerous media outlets and public officials expect that the  
12 reported number of deaths will increase significantly as well. Far from being over, many  
13 epidemiologists are predicting that the pandemic will only worsen in the fall.

14 55. Some individuals have a higher risk of severe illness from COVID-19,  
15 according to the CDC. In particular, the risk of severe illness or death from COVID-19  
16 increases with age, with a large increase in risk starting at age 50.<sup>3</sup>

17 56. According to the CDC, individuals of any age with the following underlying  
18 conditions are also at increased risk of severe illness from COVID-19: cancer; chronic  
19 kidney disease; COPD; serious heart conditions such as heart failure, coronary artery  
20 disease, or cardiomyopathies; type 2 diabetes; sickle cell disease; immunocompromised  
21 state from a solid organ transplant; and obesity (body mass index of 30 or higher).<sup>4</sup>

22  
23 <sup>2</sup> *Cases and Deaths in the U.S.*, CENTERS FOR DISEASE CONTROL AND PREVENTION,  
24 <https://www.cdc.gov/coronavirus/2019-ncov/cases-updates/cases-in-us.html> (last updated  
25 Aug. 4, 2020).

26 <sup>3</sup> *People at Increased Risk of Severe Illness - Older Adults*, CENTERS FOR DISEASE  
27 CONTROL AND PREVENTION, [https://www.cdc.gov/coronavirus/2019-ncov/need-extra-  
28 precautions/older-adults.html](https://www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/older-adults.html) (last updated July 30, 2020).

<sup>4</sup> *People at Increased Risk of Severe Illness - People with Certain Medical Conditions*,  
CENTERS FOR DISEASE CONTROL AND PREVENTION,

1           57. In addition, the CDC states that individuals of any age with the following  
2 underlying conditions might be at an increased risk for severe illness from COVID-19:  
3 asthma; cerebrovascular diseases; cystic fibrosis; hypertension or high blood pressure;  
4 immunocompromised state from blood or bone marrow transplant, immune deficiencies,  
5 HIV, use of corticosteroids, or use of other immune weakening medicines; neurologic  
6 conditions such as dementia; liver diseases; pregnancy; pulmonary fibrosis; thalassemia;  
7 type 1 diabetes; and individuals who are smokers.<sup>5</sup>

8           58. For people in the highest risk populations, such as Plaintiffs and the class of  
9 people they seek to represent, the United States fatality rate of COVID-19 infection is  
10 staggering: almost 20%.<sup>6</sup> Further, although the long-term effects of COVID-19 are not  
11 yet well-known, people who contract but do not die from COVID-19 may still have  
12 serious lifelong consequences, including lung damage, blood clots, and heart problems.

13           59. Many people in higher risk categories will develop severe symptoms and  
14 will need advanced medical care. This level of supportive care requires highly  
15 specialized equipment that is in limited supply, like ventilators, and an entire team of care  
16 providers, including 1:1 or 1:2 nurse to patient ratios, respiratory therapists, and intensive  
17 care physicians.

18 **B. COVID-19 Poses a Heightened Risk of Harm to Individuals**  
19 **Detained in Locked Psychiatric Facilities such as DSH-Patton.**

20           60. DSH-Patton is a locked psychiatric facility that provides treatment to  
21 patients with an objective of preparing them for safe discharge and reintegration into the  
22 community. Since the onset of the pandemic, locked facilities have been an epicenter of  
23 coronavirus transmission, and psychiatric facilities such as DSH-Patton are uniquely

24 \_\_\_\_\_  
25 <https://www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/people-with-medical-conditions.html> (last updated July 30, 2020).

26 <sup>5</sup> *Id.*

27 <sup>6</sup> Erin K. Stokes, et al., *Coronavirus Disease 2019 Case Surveillance – United States, January 22-May 30, 2020*, MORBIDITY AND MORTALITY WEEKLY REPORT,  
28 <https://www.cdc.gov/mmwr/volumes/69/wr/mm6924e2.htm> (last updated June 18, 2020).



1 vulnerable to COVID-19 outbreaks.

2 61. Over the past few months, COVID-19 has spread through psychiatric  
3 hospitals across the country.<sup>7</sup> By April 17, 2020, there were already more than 1,450  
4 COVID-19 cases reported at state mental health facilities in twenty-three states and  
5 Washington, D.C. At the Eastern Louisiana Mental Health System, a locked psychiatric  
6 facility, more than 20% of patients tested positive and six died by May 13, 2020. At  
7 Tewksbury Hospital, a psychiatric hospital in Massachusetts, 55% of the more 300  
8 patients tested positive for the virus by June 22, 2020. In New York, at least thirty  
9 COVID-related deaths have occurred in state psychiatric facilities.

10 62. According to the CDC and other public health experts, the only known  
11 effective measures to reduce the risk for vulnerable people like Plaintiffs and the class  
12 they seek to represent are to prevent them from being infected in the first place and to  
13 limit community spread. The CDC recommends maintaining a minimum of six feet  
14

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15 <sup>7</sup> See Danny Hakim, *'They Want to Forget Us': Psychiatric Hospital Workers Feel*  
16 *Exposed*, N.Y. TIMES, April 27, 2020, [https://www.nytimes.com/2020/04/24/nyregion/coronavirus-new-york-psychiatric-](https://www.nytimes.com/2020/04/24/nyregion/coronavirus-new-york-psychiatric-hospitals.html)  
17 [hospitals.html](https://www.nytimes.com/2020/04/24/nyregion/coronavirus-new-york-psychiatric-hospitals.html); Terrence T. McDonald, *Coronavirus cases at NJ psych hospitals climb to*  
18 *563, with 9 deaths*, BURLINGTON COUNTY TIMES, May 1, 2020, [https://www.burlingtoncountytimes.com/news/20200501/coronavirus-cases-at-nj-psych-](https://www.burlingtoncountytimes.com/news/20200501/coronavirus-cases-at-nj-psych-hospitals-climb-to-563-with-9-deaths)  
19 [hospitals-climb-to-563-with-9-deaths](https://www.burlingtoncountytimes.com/news/20200501/coronavirus-cases-at-nj-psych-hospitals-climb-to-563-with-9-deaths); Elly Belle, *We Can't Forget About Psychiatric*  
20 *Hospitals During the COVID-19 Outbreak*, HEALTHLINE, June 30, 2020, <https://www.healthline.com/health/mental-health/psychiatric-hospitals-during-covid-19>;  
21 Nada Hassanein, *'I don't want to die': Almost Three Dozen Coronavirus Cases Now at*  
22 *Florida State Hospital*, TALLAHASSEE DEMOCRAT, July 22, 2020, [https://www.tallahassee.com/story/news/2020/07/22/almost-three-dozen-coronavirus-](https://www.tallahassee.com/story/news/2020/07/22/almost-three-dozen-coronavirus-cases-now-florida-state-hospital/5485504002/)  
23 [cases-now-florida-state-hospital/5485504002/](https://www.tallahassee.com/story/news/2020/07/22/almost-three-dozen-coronavirus-cases-now-florida-state-hospital/5485504002/); Kristine de Leon, *Montana State Hospital*  
24 *Reports Two Patients Tested Positive for COVID-19*, MONTANA STANDARD, July 22,  
25 2020, [https://mtstandard.com/news/local/montana-state-hospital-reports-two-patients-](https://mtstandard.com/news/local/montana-state-hospital-reports-two-patients-tested-positive-for-covid-19/article_170a86e3-80c1-5ce0-99a5-8edeb1b82a79.html)  
26 [tested-positive-for-covid-19/article\\_170a86e3-80c1-5ce0-99a5-8edeb1b82a79.html](https://mtstandard.com/news/local/montana-state-hospital-reports-two-patients-tested-positive-for-covid-19/article_170a86e3-80c1-5ce0-99a5-8edeb1b82a79.html);  
27 Luanne Rife, *COVID Outbreaks at Virginia's Psychiatric Hospitals Kill 5, Infect Dozens*,  
28 THE ROANOKE TIMES, July 29, 2020, [https://roanoke.com/news/local/covid-outbreaks-at-](https://roanoke.com/news/local/covid-outbreaks-at-virginias-psychiatric-hospitals-kill-5-infect-dozens/article_0b71b8dd-c440-5005-b467-d60ba388b0cf.html)  
[virginias-psychiatric-hospitals-kill-5-infect-dozens/article\\_0b71b8dd-c440-5005-b467-](https://roanoke.com/news/local/covid-outbreaks-at-virginias-psychiatric-hospitals-kill-5-infect-dozens/article_0b71b8dd-c440-5005-b467-d60ba388b0cf.html)  
[d60ba388b0cf.html](https://roanoke.com/news/local/covid-outbreaks-at-virginias-psychiatric-hospitals-kill-5-infect-dozens/article_0b71b8dd-c440-5005-b467-d60ba388b0cf.html).

1 between people at all times, reducing frequency of contact, and maintaining rigorous  
2 personal hygiene, including frequently and thoroughly washing hands with soap and  
3 water.

4 63. Infection control and social distancing is particularly difficult in psychiatric  
5 facilities, however, because such facilities are designed to *encourage* social interaction  
6 through shared living spaces, providing meals in communal settings, and group  
7 programming. Unlike traditional medical hospitals, psychiatric hospitals are simply not  
8 designed to resist the spread of viral infection.<sup>8</sup>

9 64. Once COVID-19 enters a psychiatric facility, the results can be disastrous.  
10 As one infectious disease expert explained, “[i]t’s the worst of all worlds . . . You get one  
11 case in these [psychiatric] institutions, and you’ve got 10 in the next few days. These are  
12 almost invariably very high-risk patients. They’re elderly, they have chronic medical  
13 conditions, they’re on medications. It’s a mess.”<sup>9</sup>

14 65. In light of the “substantial risk of coronavirus spread with congregation of  
15 individuals in a limited space such as in an inpatient or residential [mental health]  
16 facility,” the federal Substance Abuse and Mental Health Services Administration  
17 (“SAMHSA”) has advised “that outpatient treatment options, when clinically appropriate,  
18 be used to the greatest extent possible.”<sup>10</sup>

19 \_\_\_\_\_  
20 <sup>8</sup> Masha Gessen, *Why Psychiatric Wards are Uniquely Vulnerable to the Coronavirus*,  
21 THE NEW YORKER, Apr. 21, 2020, [https://www.newyorker.com/news/news-desk/why-  
psychiatric-wards-are-uniquely-vulnerable-to-the-coronavirus](https://www.newyorker.com/news/news-desk/why-psychiatric-wards-are-uniquely-vulnerable-to-the-coronavirus).

22 <sup>9</sup> Kit Ramgopal, *Coronavirus in a Psychiatric Hospital: 'It's the worst of all worlds,'*  
23 NBC NEWS, Apr. 17, 2020, [https://www.nbcnews.com/health/mental-health/coronavirus-  
psychiatric-hospital-it-s-worst-all-worlds-n1184266](https://www.nbcnews.com/health/mental-health/coronavirus-psychiatric-hospital-it-s-worst-all-worlds-n1184266) (last updated Apr. 27, 2020)  
24 (discussing COVID-19 outbreak at Western State Hospital, one of the largest psychiatric  
institutions in the western United States).

25 <sup>10</sup> SAMHSA, *Considerations for the Care and Treatment of Mental and Substance Use*  
26 *Disorders in the COVID-19* (revised May 7, 2020)  
27 [https://www.samhsa.gov/sites/default/files/considerations-care-treatment-mental-  
substance-use-disorders-covid19.pdf](https://www.samhsa.gov/sites/default/files/considerations-care-treatment-mental-substance-use-disorders-covid19.pdf); see also Bazelon Center for Mental Health Law,  
28 *During the Pandemic, States and Localities Must Decrease the Number of Individuals in*

1 **C. DSH-Patton Is A Cramped, Congregate Setting, Not Designed to**  
2 **Permit Social Distancing or to Foster Infection Control.**

3 66. DSH-Patton is one of the largest psychiatric hospitals in the country. The  
4 facility currently operates approximately 1,527 beds in 33 units, and employs  
5 approximately 2,380 people. DSH-Patton patients often stay in the facility for a decade  
6 or more, sometimes longer than the time they would have served had they been convicted  
7 and served their time in state prison.

8 67. Within DSH-Patton, social distancing is virtually impossible. Defendants  
9 hold patients in units with approximately 50 other patients. Patients share bedrooms,  
10 bathrooms, common areas, and telephones, and eat meals in a communal setting. In some  
11 cases, five patients share a bedroom. In most bedrooms, there is less than six feet of  
12 space between each bed.

13 68. Many units at DSH-Patton are connected to “sister” units, meaning that 100  
14 patients interact regularly and share common areas. For example, the “sister” units share  
15 a common room where patients from both units watch television, play cards and games,  
16 and do art projects. In addition, patients from the “sister” units line up in the same area  
17 for medications. Patients from different units and part of the facility pass each other with  
18 less than six feet of space.

19 69. Every day, staff members—which include psychiatrists, psychologists,  
20 social workers, rehabilitation therapists, psychiatric technicians, registered nurses,  
21 registered dieticians and other clinical and administrative staff— enter and exit the  
22 facility and come into close contact with Plaintiffs. Contrary to DSH protocols, patients  
23 report that staff members do not properly wear face coverings, or do not wear face  
24 coverings at all, inside the patient areas.

25 \_\_\_\_\_  
26 Psychiatric Hospitals, By Reducing Admissions and Accelerating Discharges (Apr. 15,  
27 2020) (urging state hospitals to accelerate discharges and noting that people with serious  
28 mental illness tend to have more medical issues than the population at large),  
<http://www.bazelon.org/wp-content/uploads/2020/04/4-15-20-BC-psych-hospitals-statement-FINAL.pdf>.

1           70. Upon information and belief, DSH-Patton staff also do not regularly or  
2 adequately sanitize tables and chairs in any of the shared spaces on the units, and they do  
3 not sanitize the patient phones between each use.

4           71. DSH staff themselves have expressed concerns that “[t]here are lapses for  
5 the staff members and lapses for patients where there are all these areas that the virus can  
6 seep in.”<sup>11</sup> Staff have reported that masks are worn inconsistently, that some staff have  
7 been unable to get tested, and that patients sent out for COVID-19 treatment are not  
8 retested or quarantined when they return to the facility.<sup>12</sup>

9           72. Upon information and belief, DSH-Patton is even less able to maintain  
10 infection control if the facility’s ventilation system uses recycled air. Growing research  
11 shows that aerosolized particles of COVID-19 can disseminate much further than six feet,  
12 and may spread even farther through fans or air conditioners. Thus, people can become  
13 infected by the virus simply by breathing the air, even after a person with the virus has  
14 left the area.

15           73. Recently, Dr. Katherine Warburton, DSH’s Medical Director, stated that  
16 DSH is no longer running psychiatric hospitals, but rather running infection treatment.  
17 Dr. Warburton acknowledged that COVID-19 “spreads like wildfire” once it gets into a  
18 state hospital.<sup>13</sup>

19 **D. DSH-Patton Is in the Midst of a Growing COVID-19 Outbreak.**

20           74. Although DSH-Patton avoided the COVID-19 virus for more than two  
21 months, once the virus entered the facility it quickly took hold.

22 \_\_\_\_\_  
23 <sup>11</sup> Lee Romney, *Patients, Staff at State Hospitals Worry Coronavirus Will Wreak Havoc*,  
24 KQED NEWS, Apr. 13, 2020, <https://www.kqed.org/news/11812116/patients-staff-at-state-hospitals-worry-coronavirus-will-wreak-havoc>.

25 <sup>12</sup> *Id.*

26 <sup>13</sup> Sarah Dowling, *As State Hospitals Battle Coronavirus, Yolo County Inmates Await*  
27 *Treatment*, WOODLAND DAILY DEMOCRAT, July 19, 2020,  
28 <https://www.dailydemocrat.com/2020/07/19/as-state-hospitals-battle-coronavirus-yolo-county-inmates-await-treatment/>.

1           75. On June 1, 2020, DSH-Patton announced that it had four confirmed cases of  
2 COVID-19 in its patient population.

3           76. Less than three weeks later, on June 17, 2020, the virus spread to at least  
4 sixty-three (63) patients and twenty (20) staff. By June 22, 2020, the number of  
5 confirmed cases at DSH-Patton jumped to seventy-six (76).

6           77. As of the date of this filing, at least 112 patients at DSH-Patton have tested  
7 positive for COVID-19, including individuals who have had contact with Plaintiffs.  
8 Additionally, at least 147 DSH-Patton staff members and onsite on-DSH personnel have  
9 tested positive for the virus. At least two patients have died from COVID-19.

10           78. San Bernardino County, where DSH-Patton is located, has the fourth highest  
11 total number of COVID-19 cases of all California counties. San Bernardino County is on  
12 the California Department of Public Health (“CDPH”) “watchlist,” and local health  
13 officials have noted that hospitals are reaching “surge capacity” because of an influx of  
14 new COVID-19 cases from local facilities, including hospitals.<sup>14</sup> CDPH has identified  
15 DSH-Patton as a “driver” in San Bernardino County’s “elevated disease transmission and  
16 increasing hospitalizations.”<sup>15</sup>

17           79. In an effort to address the COVID-19 outbreak at DSH-Patton, Defendants  
18 placed at least 15 units, or approximately 650 patients, on isolation or quarantine by June  
19 23, 2020. Patients on isolation or quarantine do not receive access to a number of  
20 services, including recreation, canteen, and specialty medical appointments. Despite  
21 these measures, the numbers of people testing positive at DSH-Patton continue to rise.

22           80. Plaintiffs and other patients at DSH-Patton are now stuck in their units,  
23

24 <sup>14</sup> Alex Wigglesworth, *Coronavirus Packs San Bernardino Hospitals; Imperial County*  
25 *Told to Reinstate Stay-at-Home Order*, L.A. TIMES, June 26, 2020,  
26 [https://www.latimes.com/california/story/2020-06-26/california-coronavirus-cases-](https://www.latimes.com/california/story/2020-06-26/california-coronavirus-cases-surpass-200-000-as-hospitalizations-mount)  
surpass-200-000-as-hospitalizations-mount.

27 <sup>15</sup> *County Data Monitoring*, CAL. DEP’T OF PUB. HEALTH,  
28 [https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-](https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/CountyMonitoringDataStep2.aspx)  
19/CountyMonitoringDataStep2.aspx (last updated July 30, 2020).

1 living in constant fear for their personal health and safety. In the words of one patient,  
2 people detained there feel like they are “waiting to die.”

3 **E. COVID-19 Poses a Heightened Risk of Harm, including Severe Illness or**  
4 **Death, to Plaintiffs and the Class They Seek to Represent.**

5 81. As set forth above, Plaintiffs and hundreds of other patients at DSH-Patton  
6 live with multiple medical conditions that the CDC has identified as significantly  
7 increasing their risk of severe illness or death if they contract COVID-19.

8 82. Plaintiffs’ medical conditions are typical of the patient population at DSH-  
9 Patton. As research has shown, people with mental illness, including patients at DSH-  
10 Patton, are more likely than the general population to live with serious medical conditions  
11 and chronic disease, including many diseases that have been identified by the CDC as  
12 high-risk factors for COVID-19.<sup>16</sup>

13 83. For example, Mr. Hart is diagnosed with Chronic Obstructive Pulmonary  
14 Disease (“COPD”). COPD has been found to be associated with “substantial severity and  
15 mortality rates”<sup>17</sup> and an “over five-fold increased risk of severe COVID-19.”<sup>18</sup> The  
16 COVID-19 case fatality rate for individuals with chronic respiratory disease is 2.7 times  
17 that of the average infected individual.<sup>19</sup> Like Mr. Hart, more than 22% of people with  
18 serious mental illness have been diagnosed with COPD, or approximately 336 patients at  
19

20  
21 <sup>16</sup> Marc De Hert, et al., *Physical Illness in Patients with Severe Mental Disorders: Prevalence, Impact of Medications and Disparities in Health Care*, 10(1) WORLD PSYCHIATRY 52 (2011), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3048500/>.

22 <sup>17</sup> Jaber Alqahtani, et al., *Prevalence, Severity and Mortality associated with COPD and Smoking in patients with COVID-19: A Rapid Systematic Review and Meta-Analysis*, PLOS ONE, May 11, 2020, <https://doi.org/10.1371/journal.pone.0233147>.

23 <sup>18</sup> Giuseppe Lippi, et al., *Chronic Obstructive Pulmonary Disease is Associated with Severe Coronavirus Disease 2019 (COVID-19)*, 167 RESPIRATORY MEDICINE (2020), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7154502/>.

24 <sup>19</sup> Beth Sissons, *How Does COVID-19 Affect COPD?* MEDICAL NEWS TODAY, <https://www.medicalnewstoday.com/articles/covid-19-and-copd> (last updated May 19, 2020).  
25  
26  
27  
28



1 DSH-Patton.<sup>20</sup>

2 84. Mr. Longstreet, Mr. Gluck, and Mr. Hart have hypertension, which the CDC  
3 has identified as a risk factor for increased COVID-19 severity. Hypertension has been  
4 identified as a “leading factor” in COVID-19-related deaths.<sup>21</sup> In fact, research has  
5 shown that people with hypertension may be as much as twice as likely to die from  
6 COVID-19. Approximately 51% of patients with serious mental illness, or around 779  
7 patients in DSH-Patton, have hypertension.<sup>22</sup>

8 85. Patients at DSH-Patton are also more likely than the general population to be  
9 obese and to have obesity-related medical conditions, such as type 2 diabetes and  
10 cardiovascular disease.<sup>23</sup> Approximately 52% of people with serious mental illness are  
11 obese,<sup>24</sup> and more than 28% have type 2 diabetes, which is more than double the rate of  
12 the general population.<sup>25</sup> Based on the total population at DSH-Patton, these percentages  
13 translate to approximately 794 patients who are obese, and 428 patients with type 2  
14 diabetes, respectively. Mr. Waldrop and Mr. Hernandez have type 2 diabetes and severe  
15 obesity.

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17 <sup>20</sup> Seth Himelhoch, et al., *Prevalence of Chronic Obstructive Pulmonary Disease Among*  
18 *Those with Serious Mental Illness*, 161 AM. J. PSYCHIATRY 2317 (2004),  
19 <https://ajp.psychiatryonline.org/doi/full/10.1176/appi.ajp.161.12.2317>.

20 <sup>21</sup> Tarryn Mento, *Hypertension Continues to Be Top Underlying Health Condition Among*  
21 *Local COVID-19 Deaths*, KPBS NEWS, May 30, 2020,  
22 <https://www.kpbs.org/news/2020/may/30/more-half-san-diegans-who-died-covid-19-had-high-b/>.

23 <sup>22</sup> Christoph U. Correll, et al. *Findings of a U.S. National Cardiometabolic Screening*  
24 *Program Among 10,084 Psychiatric Outpatients*, 61(9) PSYCHIATRIC SERVICES 892  
25 (2010), <https://pubmed.ncbi.nlm.nih.gov/20810587/>.

26 <sup>23</sup> Tim Bradshaw and Hilary Mairs, *Obesity and Serious Mental Ill Health: A Critical*  
27 *Review of the Literature*, 2(2) HEALTHCARE 166 (2014),  
28 <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4934464/>.

<sup>24</sup> Correll, *supra* note 22.

<sup>25</sup> Christina V. Mangurian, et al., *Diabetes and Prediabetes Prevalence by Race and*  
*Ethnicity Among People with Severe Mental Illness*, DIABETES CARE, May 2018,  
<https://care.diabetesjournals.org/content/early/2018/05/14/dc18-0425>.



1 86. The CDC has identified type 2 diabetes and obesity as risk factors for severe  
2 COVID-19 illness. Research has shown the mortality rate of COVID-19 patients with  
3 diabetes to be approximately three times the general rate.<sup>26</sup> The CDC has also found that  
4 obesity, defined as a body mass index (“BMI”) of over 30, increases an individual’s risk  
5 for severe illness. Research has shown that the need for mechanical ventilation because  
6 of COVID-19 increases with BMI, with individuals with a BMI over 35 seven times  
7 more likely to need the intervention.<sup>27</sup> Mr. Hernandez’s BMI is 38.1. Mr. Waldrop’s  
8 BMI is 57.8.

9 87. DSH-Patton patients also have other risk factors, including those related to  
10 age, that increase the likelihood of severe complications from COVID-19.  
11 Approximately 12% of the DSH patient population, including Mr. Hart, is over the age of  
12 65. Approximately 80% of the deaths in the United States have been among individuals  
13 ages 65 and older. The CDC has recognized that the risk of severe illness from the virus  
14 increases with age, with a significant increase in risk starting at age 50. Almost one  
15 quarter of DSH patients are over 50 years old.

16 88. The CDC has also reported that people from certain racial and ethnic groups  
17 are “at increased risk of getting sick and dying from COVID-19.”<sup>28</sup> Recent data from the  
18 CDC shows that the age-adjusted COVID-19 death rate for Black people is 3.6 times  
19 greater than that for white people.<sup>29</sup> The CDC also found that Black and Hispanic

20 <sup>26</sup> Sten Madsbad, *COVID-19 Infection in People with Diabetes*, TOUCH ENDOCRINOLOGY,  
21 <https://www.touchendocrinology.com/insight/covid-19-infection-in-people-with-diabetes/>  
22 (last visited August 3, 2020).

23 <sup>27</sup> Arthur Simonnet, et al., *High Prevalence of Obesity in Severe Acute Respiratory*  
24 *Syndrome Coronavirus-2 (SARS-CoV-2) Requiring Invasive Mechanical Ventilation*,  
25 28(7) OBESITY 1195 (2020), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC7262326/>.

26 <sup>28</sup> *Health Equity Considerations and Racial and Ethnic Minority Groups*, CENTERS FOR  
27 DISEASE CONTROL AND PREVENTION, <https://www.cdc.gov/coronavirus/2019-ncov/community/health-equity/race-ethnicity.html> (last updated July 24, 2020).

28 <sup>29</sup> Tiffany Ford, et al., *Race Gaps in COVID-19 Deaths are Even Bigger than They*  
*Appear*, BROOKINGS INSTITUTION, June 16, 2020, <https://www.brookings.edu/blog/up-front/2020/06/16/race-gaps-in-covid-19-deaths-are-even-bigger-than-they-appear/>.

1 Americans under age 65 die from COVID-19 at significantly higher rates than white  
2 patients.<sup>30</sup> Approximately twenty six percent (26%) of DSH patients, including Mr.  
3 Longstreet, are Black. Twenty-four percent (24%), including Mr. Hernandez, are  
4 Hispanic.

5 89. Plaintiffs and the class of people they represent all live in significant fear  
6 that they will face severe illness or death from contracting COVID-19 at DSH-Patton.

7 **F. Defendants Have Failed to Protect Plaintiffs and the Plaintiff Class in the**  
8 **Face of the Grave Risk Posed by DSH-Patton’s COVID-19 Outbreak.**

9 90. On March 21, 2020, Governor Newsom issued Executive Order N-35-20,  
10 which granted Defendant Clendenin additional emergency powers to “waiv[e] any  
11 provision or requirement of the Welfare and Institutions Code ... [and] any provision or  
12 requirement of the Penal Code that affects the execution of laws relating to care,  
13 custody, and treatment of persons with mental illness committed to or in the custody of”  
14 DSH in order to “protect the health, safety and welfare of patients” committed to DSH’s  
15 care during the COVID-19 pandemic. Exec. Order N-35-20(5).

16 91. In a letter on April 20, 2020, Plaintiffs’ legal counsel at Disability Rights  
17 California, along with the California Public Defenders Association, the ACLU of  
18 Northern California, and California Attorneys for Criminal Justice, called on Defendants  
19 to assess all DSH residents and release high-risk patients in light of the heightened danger  
20 of COVID-19. Advocates also called on Defendants to reduce DSH’s overall population  
21 to allow for social distancing.

22 92. On May 20, 2020, Disability Rights California sent a letter to Defendants  
23 offering input on ways that DSH may exercise its authority to facilitate patient discharges  
24 or transfers to safer settings. *See, e.g.* Exec. Order N-35-20; Welf. & Inst. Code §§ 4146,

25 \_\_\_\_\_  
26 <sup>30</sup> Jonathan M. Wortham, et al., *Characteristics of Persons Who Died with COVID-19 –*  
27 *United States, February 12-May 18, 2020*, MORBIDITY AND MORTALITY WEEKLY REP.,  
28 <https://www.cdc.gov/mmwr/volumes/69/wr/mm6928e1.htm> (last updated July 17, 2020).

1 7250, Penal Code §§1603-04.

2 93. To this day, Defendants have not taken steps to meaningfully reduce the  
3 population at DSH-Patton to allow for greater social distancing, and have failed to  
4 exercise their authority under the Executive Order and their traditional powers to protect  
5 high-risk patients from COVID-19. Indeed, Defendants initially utilized the authority  
6 under the Executive Order to *suspend* discharges, refusing to release eligible patients  
7 from DSH facilities to the community, even though some patients were ready for  
8 discharge and had court orders for release.

9 94. Defendants did initially suspend most admissions to DSH facilities to limit  
10 the introduction of the virus. Unfortunately, this response left hundreds of people in  
11 county jails without access to care, and Defendants restarted admissions. Over the period  
12 that Defendants suspended admissions, the waitlist of people needing a bed in their  
13 facilities almost doubled.

14 95. Defendants' primary response to the pandemic within DSH-Patton has been  
15 to limit programming, including most therapeutic groups and classes. Defendants' other  
16 response has been to "lock-down" or quarantine whole units of patients when there is a  
17 single positive test. Although patients on a quarantined unit cannot interact with patients  
18 in outside units, they still mingle with one another within a unit, using the same  
19 bathrooms and telephones, allowing the virus to continue to spread.

20 96. Ultimately, Defendants' efforts to limit the spread of COVID-19 at DSH-  
21 Patton have not proved effective. The number of people testing positive for COVID-19  
22 at DSH-Patton continues to rise. Defendants continue to detain Plaintiffs and the class  
23 members they seek to represent at DSH-Patton, putting them at grave risk of severe  
24 illness or death.

### 25 **CLASS ACTION ALLEGATIONS**

26 97. Plaintiffs bring this action pursuant to Rule 23(b)(2) of the Federal Rules of  
27 Civil Procedure on their own behalf and on behalf of all persons similarly situated.  
28

1 98. Plaintiffs seek to represent a class consisting of:

2 All individuals who are currently confined at DSH-Patton or will be confined in  
3 the future during the COVID-19 pandemic and who, pursuant to CDC guidelines,  
4 are or might be at high risk of becoming severely ill or dying from complications  
5 related to COVID-19 (the “Class”).

6 99. This Class is so numerous that joinder of all members in one action is  
7 impracticable. Defendants confine over 1,500 people at DSH-Patton, and a  
8 disproportionately high number of DSH-Patton patients have conditions identified by the  
9 CDC as risk factors for COVID-19 complications.<sup>31</sup> Based on the prevalence of several  
10 of CDC risk factors in the population of people with serious mental illness, at least half of  
11 the patients at DSH-Patton, or approximately 764 people, are likely in the Class. Further,  
12 because the population changes on a daily basis, it is inherently fluid and the Class  
13 includes future members whose names are not known at this time.

14 100. Common questions of law and fact apply to all Class members. These  
15 common questions of fact and law include but are not limited to: (1) whether Defendants  
16 are holding significant numbers of patients who have conditions that, per the CDC, put  
17 them at high risk for severe illness or death if they contract COVID-19; (2) whether  
18 COVID-19 is spreading throughout DSH-Patton; (3) whether Defendants have  
19 implemented adequate emergency measures during the COVID-19 crisis to protect DSH-  
20 Patton residents from substantial risk of serious harm and death, including by  
21 expeditiously (a) identifying all individuals at high risk for severe illness due to COVID-  
22 19; (b) implementing a process for assessing transfer or discharge options that adequately  
23 account for the risk of severe illness due to COVID-19; (c) modifying their policies and  
24 practices to accommodate people with disabilities that put them at risk of severe illness  
25 due to COVID-19; and (d) identifying and utilizing safe, non-congregate, community

26 \_\_\_\_\_  
27 <sup>31</sup> See Marc De Hert, et al., *supra* note 16; Tim Bradshaw and Hilary Mairs, *Obesity and*  
28 *Serious Mental Ill Health: A Critical Review of the Literature*, 2(2) HEALTHCARE 166  
(2014), <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4934464/>.

1 placements for individuals at risk of severe illness due to COVID-19; (4) whether  
2 continuing to detain Class members with high risk factors for COVID-19 in the current  
3 conditions at DSH-Patton violates the Due Process Clause of the U.S. Constitution  
4 because the conditions of confinement subject Class members to an unreasonable risk of  
5 serious harm; (5) whether Defendants' actions and omissions violate the ADA.

6 101. Defendants' practices and the claims alleged herein are common to all  
7 members of the Class.

8 102. Plaintiffs' claims are typical of those of the Class. Plaintiffs, like all other  
9 patients confined at DSH-Patton, are currently being held in conditions that put them at  
10 substantial risk of serious harm. Plaintiffs, like other members of the Class, have  
11 underlying conditions that enhance their risk of severe illness or death from COVID-19.

12 103. The legal theories on which Plaintiffs rely are the same or similar to those on  
13 which all Class members would rely, and the harms suffered by them are typical of those  
14 suffered by all other Class members. Absent class certification, separate actions by  
15 individuals would in all likelihood result in inconsistent and varying decisions, which in  
16 turn would result in conflicting and incompatible standards for Defendants.

17 104. Plaintiffs will fairly and adequately protect the interests of the Class; the  
18 interests of the Class representatives are consistent with those of the Class members. In  
19 addition, counsel for Plaintiffs are experienced in class action and civil rights litigation.

20 105. Counsel for Plaintiffs know of no conflicts of interest among Class members  
21 or between the attorneys and Class members that would affect this litigation.

### 22 **LEGAL FRAMEWORK AND ALLEGATIONS**

23 106. The Court has authority to order appropriate relief to protect Plaintiffs and  
24 the class they seek to represent from the dangers posed by COVID-19 under the  
25 Fourteenth Amendment and the ADA.

#### 26 **A. This Court Has Authority to Order Appropriate Relief to Vindicate** 27 **Plaintiffs' and Class Members' Fourteenth Amendment Rights, and** 28 **Such Relief Is Appropriate Here.**

1           107. The Due Process Clause of the Fourteenth Amendment requires Defendants  
2 to provide Plaintiffs conditions of confinement and services necessary to ensure their  
3 reasonable safety. *Youngberg v. Romeo*, 457 U.S. 307, 324 (1982). The United States  
4 Supreme Court has held that state agencies cannot “ignore a condition of confinement  
5 that is sure or very likely to cause serious illness and needless suffering.” *Helling v.*  
6 *McKinney*, 509 U.S. 25, 33 (1993). Conditions of confinement that are inadequate to  
7 ensure a patient’s safety and well-being are improper, regardless of whether the state  
8 deliberately created those conditions. *Oregon Advocacy Center v. Mink*, 322 F.3d 1101,  
9 1120-21 (9th Cir. 2003) (holding that civil detainees are not required to satisfy  
10 heightened “deliberate indifference” standard of liability); *King v. County of Los Angeles*,  
11 885 F.3d 548, 556-57 (9th Cir. 2018) (same).

12           108. The Court has authority to order broad relief, including Plaintiffs’ release or  
13 transfer, to ensure that Plaintiffs’ constitutional rights are protected. *Stone v. City &*  
14 *County of San Francisco*, 968 F.2d 850, 861 (9th Cir. 1992) (amended) (“Federal courts  
15 possess whatever powers are necessary to remedy constitutional violations because they  
16 are charged with protecting these rights.”).

17           109. Recently, courts have exercised this authority to remedy constitutional  
18 violations due to the dangers posed by COVID-19 infections inside locked facilities. *See,*  
19 *e.g., Fraihat v. U.S. Immigration and Customs Enforcement*, No. 19-1546, 2020 WL  
20 1932570, at \*21, \*28 (C.D. Cal. Apr. 20, 2020) (granting nationwide preliminary  
21 injunction requiring ICE to identify high-risk detainees and implement measures for their  
22 protection from COVID-19, including through release); *Torres v. Milusnic*, No. 20-4450,  
23 2020 WL 4197285, at \*23-24 (C.D. Cal. July 14, 2020) (requiring Bureau of Prisons to  
24 exercise powers granted under CARES Act to identify high-risk detainees and evaluate  
25 them for release to home confinement); *see also Castillo v. Barr*, No. 20-00605, 2020  
26 WL 1502864, at \*5-6 (C.D. Cal. Mar. 27, 2020) (ordering release of high-risk  
27 immigration detainees due to dangers posed by COVID-19 inside facility); *Ortuño v.*  
28



1 *Jennings*, No. 20-02064, 2020 WL 1701724, at \*4 (N.D. Cal. Apr. 8, 2020) (same); *cf.*  
2 *Xochihua-Jaimes v. Barr*, 962 F.3d 1065, 1066 (9th Cir. 2020) (sua sponte ordering that  
3 petitioner “be immediately released from detention” due to the “rapidly escalating public  
4 health crisis” caused by COVID-19).

5 110. These issues are also currently being litigated in lawsuits involving the rights  
6 and safety of patients in psychiatric facilities who are at high risk related to COVID-19.  
7 *See, e.g., Wilkes v. Lamont*, Case No. 20-594 (D. Conn. filed Apr. 30, 2020); *Doe v.*  
8 *Mikula*, Case No. 2084-1407 (Mass. Sup. Ct., Suffolk Cnty. filed July 1, 2020).

9 111. In the face of the threat from COVID-19, social distancing and hygiene  
10 measures are Plaintiffs’ only defense. As the rapidly escalating outbreak at DSH-Patton  
11 shows, those protective measures are exceedingly difficult, if not impossible, to achieve  
12 in the congregate, dense living environment of DSH-Patton, even in the face of  
13 Defendants’ attempts to limit the spread. Plaintiffs and the class they seek to represent  
14 share bedrooms, toilets, sinks, showers, and telephones, eat in communal spaces, and are  
15 in close and constant contact with other detainees and staff that rotate in and out of the  
16 facility. These conditions pose an unacceptably high risk of infection and, as a result,  
17 Plaintiffs and the proposed class face substantial risk of serious harm from continued  
18 detention in this setting.

19 **B. The ADA Requires Defendants to Make Disability-Related Reasonable**  
20 **Modifications to Protect Plaintiffs and Class Members from COVID-19.**

21 112. Title II of the ADA provides that “no qualified individual with a disability  
22 shall, by reason of such disability, be excluded from participation in or be denied the  
23 benefits of the services, programs, or activities of a public entity, or be subjected to  
24 discrimination by any such entity.” 42 U.S.C. § 12132.

25 113. In addition, the ADA requires that all covered entities provide reasonable  
26 modifications in their policies, practices, and procedures in order to give people with  
27 disabilities an equal opportunity to benefit from the entity’s programs, services, and  
28 activities. 28 C.F.R. § 35.130 (b)(7).



1           114. The ADA also prohibits public entities from utilizing criteria or methods of  
2 administration that “have the purpose or effect of defeating or substantially impairing  
3 accomplishment of the objectives of the public entity’s program with respect to  
4 individuals with disabilities.” 28 C.F.R. § 35.130(b)(3).

5           115. Plaintiffs are “qualified” for Defendants’ programs, services, and activities,  
6 which include providing safe, constitutional living conditions during confinement,  
7 medical care, rehabilitative services to prepare for reentry into the community, and  
8 discharge planning. *See* 42 U.S.C. § 12131(2); 28 C.F.R.  
9 § 35.104; 28 C.F.R. pt. 35, app. B (“[T]itle II applies to anything a public entity does.”).

10           116. Plaintiffs’ counsel have requested that Defendants create a systemic  
11 emergency plan to protect patients during the COVID-19 pandemic, including by  
12 immediately identifying all high-risk patients and implementing a process for transferring  
13 as many of those patients as possible to safer, non-congregate settings. To date,  
14 Defendants have failed to develop and implement any such plan.

15           117. Defendants have consistently refused requests to accelerate discharge  
16 procedures, even for patients who are at or near discharge ready, and have failed to  
17 reasonably modify their policies to give due weight to COVID-19 risk factors when  
18 evaluating patients for transfer. For example, Plaintiffs Hart and Longstreet have  
19 requested reasonable accommodations to Defendants’ discharge planning process in  
20 order to facilitate their accelerated discharge or transfer out of the facility to a safer, non-  
21 congregate setting. Defendants have denied their requests.

22           118. Even where Defendants agreed to assess a patient for transfer, those  
23 assessments have gone nowhere. For example, Mr. Longstreet, who receives minimal  
24 treatment and has nearly completed all of his discharge goals, requested an accelerated  
25 process to effectuate his transfer out of DSH-Patton before he contracts COVID-19.  
26 Defendants refused to modify their normal procedures, and only evaluated Mr.  
27 Longstreet for transfer to another congregate facility, which ultimately refused to accept  
28

1 him without a “medication evaluation” from DSH. Instead of accelerating a medication  
2 evaluation or identifying alternative placement options, Defendants simply gave up,  
3 refusing to take any further steps to transfer Mr. Longstreet out of DSH-Patton.

4 119. Defendants have also failed to make any modifications necessary to ensure  
5 that high-risk people with disabilities receive treatment and other services provided by  
6 Defendants while being reasonably protected from contracting, and even dying from,  
7 COVID-19.

8 120. Defendants also utilize methods of administration that discriminate against  
9 Plaintiffs and the class they seek to represent. When making determinations for  
10 discharge or transfer, Defendants do not consider the additional risk that COVID-19  
11 causes Plaintiffs because of their disabilities.

12 121. Further, Defendants are failing to provide treatment to Plaintiffs and others  
13 similarly situated in the “most integrated setting.” Under the ADA, the “most integrated  
14 setting” is defined as “a setting that enables individuals with disabilities to interact with  
15 non-disabled persons to the fullest extent possible.” 28 C.F.R. pt. 35, app. B. Continued  
16 confinement in a psychiatric institution with only other individuals with disabilities, when  
17 unnecessary, violates the ADA. Here, Plaintiffs would be able to receive the same  
18 treatment they are currently receiving at DSH-Patton outside of the facility, in locations  
19 that do not place them at risk of severe illness or death.

20 **INJUNCTIVE AND DECLARATORY RELIEF ALLEGATIONS**

21 122. An actual controversy exists between Plaintiffs and Defendants regarding  
22 their respective legal rights and duties. Defendants’ conduct as alleged above has caused  
23 and, absent injunctive relief, will cause Plaintiffs irreparable harm.

24 123. In the absence of immediate relief, Plaintiffs will continue to be deprived of  
25 these rights. There is no adequate remedy at law for the continuing violations by  
26 Defendants of Plaintiffs’ constitutional and statutory rights.

**CLAIMS FOR RELIEF**

**FIRST CLAIM FOR RELIEF**

**Violation of Fourteenth Amendment Due Process Clause  
Right to Reasonable Safety in Confinement  
(Fourteenth Amendment; U.S.C. § 1983)**

124. Plaintiffs repeat and reallege the allegations contained in all preceding paragraphs as though fully set forth herein.

125. Defendants violate the Due Process Clause of the Fourteenth Amendment when they subject Plaintiffs and the Class to conditions of confinement that create a substantial risk of serious harm to their safety and health.

126. Defendants' policies, practices, acts and omissions, including the conditions of confinement in which they are holding Plaintiffs, subject Plaintiffs and the Class to heightened risk of contracting COVID-19, for which there is no vaccine, effective treatment, or cure. Plaintiffs and Class members cannot take steps to protect themselves from the spread of COVID-19—such as social distancing, hand-washing hygiene, or self-quarantining—and Defendants have not provided adequate protections to guarantee their care and safety. Defendants are continuing to subject Plaintiffs and the Class to a substantial risk of serious harm by failing to timely: (1) identify individuals who are high risk for severe illness and/or death from COVID-19, (2) evaluate these individuals for discharge or transfer to alternative, non-congregate settings outside of DSH-Patton, (3) take all necessary steps to effectuate the discharge or transfer of these individuals from DSH-Patton, and (4) implement all appropriate measures to protect patients inside DSH-Patton from COVID-19 infection.

127. Defendants' actions and inaction violate the rights of Plaintiffs and the Class under the Due Process Clause of the Fourteenth Amendment.

128. There is no reasonable justification for the Defendants' actions.

129. Plaintiffs and the Class have suffered and will suffer injury as a proximate

1 result of Defendants' violation of their rights under the Due Process Clause of the  
2 Fourteenth Amendment.

3 130. Plaintiffs and the Class are entitled to declaratory relief, injunctive relief,  
4 attorneys' fees, and costs.

5 **SECOND CLAIM FOR RELIEF**

6 **Violation of Title II of the ADA**

7 **(42 U.S.C. § 12131 *et seq.*, 28 C.F.R. § 35.130)**

8 131. Plaintiffs repeat and reallege the allegations contained in all preceding  
9 paragraphs as though fully set forth herein.

10 132. Plaintiffs are qualified individuals with disabilities within the meaning of  
11 Title II of the ADA and meet the essential eligibility requirements for the receipt of  
12 services, programs, or activities of Defendants. 42 U.S.C. § 12102(2)(b); 42 U.S.C. §  
13 12131(2).

14 133. DSH is an agency of the State of California and a public entity as defined in  
15 42 U.S.C. § 12131(1). DSH's agents, directors, and officers are therefore subject to Title  
16 II of the ADA. 42 U.S.C. § 12131(1); 42 U.S.C. § 12132.

17 134. Defendants have violated the ADA and its implementing regulations by  
18 discriminating against Plaintiffs and the Class on the basis of their disabilities, including  
19 by:

- 20 a. Failing to reasonably modify their policies and practices to allow  
21 Plaintiffs to meaningfully participate in Defendants' programs, including  
22 their program for discharging or transferring patients to community-  
23 based or other alternative settings where they can more safely receive  
24 services, 28 C.F.R. § 35.130(b)(7);
- 25 b. Utilizing methods of administration that deprive Plaintiffs of equal access  
26 to the benefits of the programs and services that Defendants provide to  
27 other individuals, 28 C.F.R. § 35.130(b)(3);
- 28

1 c. Failing to administer services, programs, and activities in “the most  
2 integrated setting” appropriate to Plaintiffs’ needs, 28 C.F.R. § 35.130(d).

3 135. These failures have the effect of defeating or substantially undermining  
4 participation by Plaintiffs and the Class in Defendants’ programs at DSH-Patton. *See* 28  
5 C.F.R. § 35.130(b)(3)(ii).

6 136. Providing modifications of Defendants’ discharge policies and practices  
7 would not fundamentally alter Defendants’ programs, services, or activities.

8 137. Plaintiffs and the Class have suffered and will suffer injury as a proximate  
9 result of Defendants’ violation of their rights under the ADA.

10 138. Plaintiffs and the Class are entitled to declaratory relief, injunctive relief,  
11 attorneys’ fees, and costs.

12 **REQUEST FOR RELIEF**

13 WHEREFORE, Plaintiffs respectfully request that the Court take jurisdiction and order  
14 the following:

- 15 1. Enjoin Defendants, their subordinates, agents, employees, and all others  
16 acting in concert with them from subjecting Plaintiffs and the Class to the  
17 unlawful acts and omissions described herein, and issue an injunction to  
18 remedy the violations of the Plaintiffs’ and the Class’s rights, including  
19 ordering Defendants to undertake the following:
- 20 a. Identify all members of the Class by utilizing current CDC guidelines  
21 on COVID-19 high-risk factors and provide Plaintiffs’ counsel such  
22 list, updated at least monthly;
  - 23 b. Conduct expedited individualized assessments of all members of the  
24 Class to effectuate their safe discharge or transfer from DSH-Patton to  
25 a safe, non-congregate setting. In conducting these assessments,  
26 Defendants must:
    - 27 i. Adequately address each Class member’s risk of severe illness or  
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- 1 death from contracting COVID-19 at DSH-Patton;
- 2 ii. Consider the full range of alternative treatment options, including
- 3 discharge or transfer to a community setting, a family member's
- 4 home, temporary leave, conditional release, permanent or
- 5 temporary housing accommodations, tele-medicine, and intensive
- 6 case management services. Under no circumstances should an
- 7 individual be transferred to another congregate setting with a
- 8 heightened risk for COVID-19 infection;
- 9 iii. Seek input from the Class member, the Class member's treatment
- 10 team, family members and others who assist them, and other
- 11 supportive decision makers as determined by the patient; and
- 12 iv. Use all powers and procedures available under the law, including
- 13 under Executive Order N-35-20, to expeditiously transfer or
- 14 discharge the class members to a safer, non-congregate or less
- 15 congregate setting;
- 16 c. Provide updates, no less frequently than monthly, to the Court about
- 17 the discharge or transfer of Class members; and
- 18 d. Take all necessary precautions pursuant to CDC Guidelines and the
- 19 Constitution to protect from COVID-19 infection any Class members
- 20 who are not transferred or discharged, and remain in DSH facilities;
- 21 2. Appoint an independent court-appointed monitor(s) or special master(s) to
- 22 ensure compliance with the Court's order, and provide the monitor(s) with
- 23 access to units, transfer or discharge discussions, and confidential
- 24 communication with Class members, to assess and report on (a) the
- 25 adequacy of Defendants' actions to effectuate safe discharge or transfer of
- 26 Class members, and (b) the adequacy of conditions, policies, and precautions
- 27 at DSH-Patton to protect those Class members who remain in detention at
- 28

1 the facility;

- 2 3. Retain jurisdiction of this case until Defendants have fully complied with the  
3 orders of this Court, and there is reasonable assurance that Defendants will  
4 continue to comply in the future absent the Court's continuing jurisdiction;
- 5 4. Award Plaintiffs' reasonable attorneys' fees, costs, expenses and  
6 disbursements as authorized by law; and
- 7 5. Grant further relief as the Court may deem just and proper.
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1 DATED: August 5, 2020

Respectfully submitted,

2  
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